



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GRUENBERG

Serial No.: 08/700,565

Filed: July 25, 1996

For: *AUTOLOGOUS IMMUNE CELL  
THERAPY; CELL  
COMPOSITIONS, METHODS  
AND APPLICATIONS TO  
TREATMENT OF HUMAN  
DISEASE*

Art Unit: 1815

Examiner: Unassigned

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents

Box Missing Parts

Washington, D.C. 20231, on this date.

4/4/97

Date

*Patricia Munoz*  
Patricia Munoz

**TRANSMITTAL OF AND RESPONSE TO NOTICE TO FILE MISSING PARTS**

**ATTN: Box Missing Parts**

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed February 4, 1997, the following documents are submitted herewith:

- 1) A copy of the Notice to File Missing Parts;
- 2) An executed Verified Statement Claiming Small Entity Status;
- 3) an executed Declaration for Patent Application; and
- 4) a check in the amount of \$444.00 to cover the requisite fees.

Also submitted is an Assignment signed by the inventor and a Recordation Form Cover Sheet. The recordation fee is included in the enclosed check.

The Commissioner is hereby authorized to charge any fees that may be required in connection with the filing of this and the attached papers, or any other fees that may be due during the entire pendency of this application, or credit any overpayment, to Deposit Account 02-4070. If no proper payment is

**USSN 08/700,565  
GRUENBERG  
TRANSMITTAL OF AND RESPONSE TO  
NOTICE TO FILE MISSING PARTS**

enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 02-4070.


A duplicate of this sheet is enclosed.

\* \* \*

Respectfully submitted,

**BROWN, MARTIN, HALLER & McCLAIN**

By:

  
Stephanie L. Seidman  
Registration No. 33,779

Attorney Docket No. 6870-500B

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2/6/97  
#3

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/700,565 07/25/96 GRUENBERG M 6870-500B

0232/0205

STEPHANIE SEIDMAN  
BROWN MARTIN HALLER & MCCLAIN  
1660 UNION STREET  
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NOT ASSIGNED

1815  
DATE MAILED:

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**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 2446.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 2366, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the response.

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